

BERESKIN & PARR

BOX 401, 40 KING STREET WEST, TORONTO, CANADA M5H 3Y2
PHONE (416) 364-7311 • FAX (416) 361-1398 • WWW.BERESKINPARR.COMPlease type a plus sign (+) inside this box → ☒Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 10447-011

First Inventor or Application Identifier THOR BORGFORD

Title RICIN-LIKE TOXIN VARIANTS FOR TREATMENT OF ...

Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 88]
(preferred arrangement set forth below)
- Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Micro fiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 254]
4. Oath or Declaration [Total Pages 3]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
- i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement of Power of Attorney
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 [Copies of IDS Citations]
11. ☐ Preliminary Amendment
12. ☐ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. ☒ * Small Entity Statement(s) filed in prior application, Status still proper and desired
(PTO/SB/09-12)
14. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. ☐ Other:

* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No. 09/403,752

Prior application information: Examiner Group / Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label  or ☐ Correspondence address below
(Insert Customer Number or Bar Code Label here)

Name	Bereskin & Parr				
Address	Box 401 40 King Street West				
City	Toronto	State	Ontario	Zip Code	M5H 3Y2
Country	Canada	Telephone	(416) 364-7311	Fax	(416) 361-1398

Name (Print/Type)	John R. Rudolph	Registration No. (Attorney/Agent)	38.003
Signature		Date	April 13, 2000

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Office, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Our File No: 10447-011

BERESKIN & PARR

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April 13, 2000

The Commissioner of Patents & Trademarks
Washington, D.C., U.S.A. 20231

Dear Sir:

Transmitted herewith for filing is the patent application of

Inventor: **Thor Borgford**

For: **RICIN-LIKE TOXIN VARIANTS FOR TREATMENT OF CANCER, VIRAL OR PARASITIC INFECTIONS**

The following papers are also enclosed:

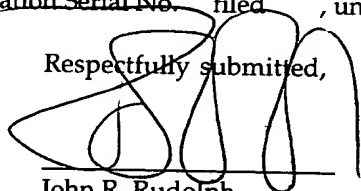
- [X] 254 sheets of X Formal drawings _____ Informal drawings
- [X] A signed declaration.

	Number Extra	Full Rate	Small Entity Rate	Total
Total Claims	39 - 20 = 19 X	\$ 18.00	\$ 9.00	\$171
Independent Claims	5 - 3 = 2 X	\$ 78.00	\$ 39.00	\$78
Multiple Claims	Flat Rate	\$260.00	\$130.00	\$0

Basic Fee = \$345.00
TOTAL FEES = \$594.00

- [X] Two Verified Statements Claiming Small Entity Status.
- [X] The amount of \$594.00 is enclosed in our cheque No. 2860.
- [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 02-2095. This letter is enclosed in duplicate.
- [X] Related application. This application is a _____ Division _____ Continuation
X Continuation-in-part of application Serial No. 09/403,752, filed October 29, 1999.
- [] Convention priority is requested based on U.S. Application Serial No. _____, filed _____, under 35 USC §119(e).

Respectfully submitted,


John R. Rudolph
Registration No. 38,003

BERESKIN & PARR

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PTO/SB/17 (12/99)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.

Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$ 594.00

Complete if Known

Application Number	
Filing Date	
First Named Inventor	THOR BORGFORD
Examiner Name	
Group / Art Unit	
Attorney Docket No.	10447-011/JRR

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 022095

Deposit Account Name Bereskin & Parr

☒ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

2. ☒ Payment Enclosed: Cheque # 2860
☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
	101 690	201 345	Utility filing fee	345.00
	106 310	206 155	Design filing fee	
	107 480	207 240	Plant filing fee	
	108 690	208 345	Reissue filing fee	
	114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$ 345.00

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
32	-20** = 19	X 9.00	= 171.00
Independent Claims	5	-3** = 2	X 39.00 = 78.00
Multiple Dependent			

**or number previously paid, if greater; For Reissues, see below

Large Entity Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description
	103 18	203 9	Claims in excess of 20
	102 78	202 39	Independent claims in excess of 3
	104 260	204 130	Multiple dependent claim, if not paid
	109 78	209 39	** Reissue independent claims over original patent
	110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 249.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
	105 130	205 65	Surcharge - late filing fee or oath	
	127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
	139 130	139 130	Non-English specification	
	147 2,520	147 2,520	For filing a request for reexamination	
	112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
	113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
	115 110	215 55	Extension for reply within first month	
	116 380	216 190	Extension for reply within second month	
	117 870	217 435	Extension for reply within third month	
	118 1,360	218 680	Extension for reply within fourth month	
	128 1,850	228 925	Extension for reply within fifth month	
	119 300	219 150	Notice of Appeal	
	120 300	220 150	Filing a brief in support of an appeal	
	121 260	221 130	Request for oral hearing	
	138 1,510	138 1,510	Petition to institute a public use proceeding	
	140 110	240 55	Petition to revive - unavoidable	
	141 1,210	241 605	Petition to revive - unintentional	
	142 1,210	242 605	Utility issue fee (or reissue)	
	143 430	243 215	Design issue fee	
	144 580	244 290	Plant issue fee	
	122 130	122 130	Petitions to the Commissioner	
	123 50	123 50	Petitions related to provisional applications	
	126 240	126 240	Submission of Information Disclosure Stmt	
	581 40	581 40	Recording each patent assignment per property (times number of properties)	
	146 690	246 345	Filing a submission after final rejection (37 CFR § 1.129(a))	
	149 690	249 345	For each additional invention to be examined (37 CFR § 1.129(b))	

Other fee (specify) _____

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0.00

SUBMITTED BY

Name (Print/Type)	John R. Rudolph	Registration No. (Attorney/Agent)	38,003	Complete (if applicable)	Telephone	(416) 364-7311
Signature				Date	April 13, 2000	

WARNING:

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